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PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**UTILITY PATENT APPLICATION TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 005306.P063  
(maximum 12 characters)First Named Inventor David L. RabbersTitle: METHOD AND SYSTEM FOR TRANSFERRING INFORMATION DURING SERVER SYNCHRONIZATION  
WITH A COMPUTING DEVICEExpress Mail Label No. EL861982896US09/05 U.S. PTO  
09/976400  
10/11/01ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, D. C. 20231**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1.  Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original, and a duplicate for fee processing)
2.  Applicant Claims Small Entity Status. (37 CFR 1.27)
3.  Specification (Total Pages 41)  
(preferred arrangement set forth below)
  - Descriptive Title of the Invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4.  Drawings(s) (35 USC 113) (Total Sheets 11)
5.  Oath or Declaration (Total Pages 5)
  - a.  Newly Executed (Original or Copy)
  - b.  Copy from a Prior Application (37 CFR 1.63(d))  
(for Continuation/Divisional with Box 17 completed)
    - i.  DELETIONS OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
    - c.  Unsigned.
6.  Application Data Sheet. (37 CFR 1.76)
7.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8.  Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a.  Computer Readable Form (CRF)
  - b.  Specification Sequence Listing on:
    - i.  CD-ROM or CD-R (2 copies); or
    - ii.  paper
  - c.  Statement verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9.  **Assignment Papers (cover sheet & documents(s))**  
10.  a. Separate 37 CFR 3.73(b) Statement (where there is an assignee)  
 b. Power of Attorney  
11.  English Translation Document (if applicable)  
12.  a. Information Disclosure Statement (IDS)/PTO-1449  
 b. Copies of IDS Citations  
13.  **Preliminary Amendment**  
14.  **Return Receipt Postcard (MPEP 503) (Should be specifically itemized)**  
15.  Certified Copy of Priority Document(s) (if foreign priority is claimed)  
16.  **Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.**  
17.  Other: separate sheet with title, express mail label, copy of postcard and attorney's signature

**18A. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:**

Continuation  
Of Prior Application No.: \_\_\_\_\_  Divisional  
Examiner \_\_\_\_\_  Continuation-in-part (CIP)  
Group Art Unit \_\_\_\_\_

(which is a  continuation/  divisional/  CIP of prior application no. \_\_\_\_\_,  
which is a  continuation/  divisional/  CIP of prior application no. \_\_\_\_\_) (List entire chain of priority)

**For CONTINUATION AND DIVISIONAL APPS only:** The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**18B. Statement under 37 CFR 3.73(b) for continuing application:**

The undersigned states that \_\_\_\_\_ (name of assignee) is  
the assignee of the entire right, title, and interest in the accompanying patent application by virtue of an  
assignment recorded in the Patent and Trademark Office at Reel. No. \_\_\_\_\_ Frame No. \_\_\_\_\_  
(or a copy of which is attached).

**19. Correspondence Address**

Customer Number or Bar Code Label \_\_\_\_\_  
or \_\_\_\_\_ (Insert Customer No. or Attach Bar Code Label here)  
 Correspondence Address Below

NAME Lawrence E. Lycke  
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

ADDRESS 12400 Wilshire Boulevard  
Seventh Floor

CITY Los Angeles STATE California ZIP CODE 90025-1026

Country U.S.A. TELEPHONE (206) 292-8600 FAX (206) 292-8606

Name (PRINT/TYPE): Lawrence E. Lycke Registration No.: 38,540

Signature: Lawrence E. Lycke Date: Oct. 11, 2001

**REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)**First Named Inventor David L. RabbersTitle METHOD AND SYSTEM FOR TRANSFERRING INFORMATION DURING SERVER SYNCHRONIZATION  
WITH A COMPUTING DEVICEAttorney Docket No. 005306.P063

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

Oct. 11, 2001

Date



Signature

Lawrence E. Lycke

Typed or Printed Name

38,540

Registration No.

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months after the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(ii)).**

Send to: Commissioner for Patents, Washington, D.C. 20231

**FEE TRANSMITTAL FOR FY 2001****TOTAL AMOUNT OF PAYMENT (\$)** \$1,126.00**Complete if Known:**

Application No. Not yet assigned  
 Filing Date Herewith  
 First Named Inventor David L. Rabbers  
 Group Art Unit \_\_\_\_\_  
 Examiner Name \_\_\_\_\_  
 Attorney Docket No. 005306.P063

**METHOD OF PAYMENT** (check one)

1.  The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 02-2666  
 Deposit Account Name \_\_\_\_\_

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

2.  Payment Enclosed:

Check  
 Money Order  
 Other

**FEE CALCULATION****1. BASIC FILING FEE**

<u>Large Entity</u>	<u>Small Entity</u>	<u>Fee Description</u>	<u>Fee Paid</u>
Fee	Fee	Fee	Fee
Code	(\$)	Code	(\$)
101	710	201	355
106	320	206	160
107	490	207	245
108	710	208	355
114	150	214	75
		Utility application filing fee	<u>\$710.00</u>
		Design application filing fee	_____
		Plant filing fee	_____
		Reissue filing fee	_____
		Provisional application filing fee	_____
<b>SUBTOTAL (1) \$ 710.00</b>			

**2. EXTRA CLAIM FEES**

	<u>Extra Claims</u>	<u>Fee from below</u>	<u>Fee Paid</u>
Total Claims <u>32</u>	<u>- 20** = 12</u>	<u>X \$18.00</u>	<u>= \$216.00</u>
Independent Claims <u>5</u>	<u>- 3** = 2</u>	<u>X \$80.00</u>	<u>= \$160.00</u>
Multiple Dependent			<u>=</u>

\*\*Or number previously paid, if greater; For Reissues, see below.

<u>Large Entity</u>	<u>Small Entity</u>	<u>Fee Description</u>
Fee	Fee	Fee
Code	(\$)	Code
103	18	203 9
102	80	202 40
104	270	204 135
109	80	209 40
110	18	210 9
		Claims in excess of 20
		Independent claims in excess of 3
		Multiple dependent claim, if not paid
		**Reissue independent claims over original patent
		**Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2) \$ 376.00**

## **FEE CALCULATION (continued)**

### **3. ADDITIONAL FEES**

<u>Large Entity</u>	<u>Small Entity</u>		
Fee	Fee	Fee	Fee
Code	(\$)	Code	(\$)
105	130	205	65
127	50	227	25
			<u><b>Fee Description</b></u>
			Surcharge - late filing fee or oath
			Surcharge - late provisional filing fee or cover sheet
139	130	139	130
147	2,520	147	2,520
112	920*	112	920*
113	1,840*	113	1,840*
115	110	215	55
116	390	216	195
117	890	217	445
118	1,390	218	695
128	1,890	228	945
119	310	219	155
120	310	220	155
121	270	221	135
138	1,510	138	1,510
140	110	240	55
141	1,240	241	620
142	1,240	242	620
143	440	243	220
144	600	244	300
122	130	122	130
123	50	123	50
126	180	126	180
581	40	581	40
146	710	246	355
149	710	249	355
179	710	279	355
169	900	169	900
			<u><b>Fee Paid</b></u>

**Other fee (specify)**

**Other fee (specify)**

**SUBTOTAL (3) \$ 40.00**

**\*Reduced by Basic Filing Fee Paid**

**SUBMITTED BY:**

**Typed or Printed Name:** / Lawrence E. Lycke

Signature: Karen J. C. Date: Oct. 11, 2001

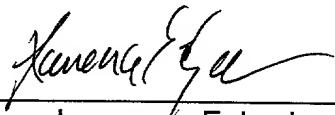
Reg. Number: 38,540 Telephone Number: (206) 292-8600

METHOD AND SYSTEM FOR TRANSFERRING INFORMATION DURING SERVER  
SYNCHRONIZATION WITH A COMPUTING DEVICE

Inventors: David L. Rabbers  
Brian Scott  
Martin Susser

Respectfully submitted,

BLAKELY SOKOLOFF TAYLOR & ZAFMAN LLP



Lawrence E. Lycke  
Reg. No. 38,540

"Express Mail" mailing label number: EL861982896US  
Date of Deposit: October 11, 2001

I hereby certify that I am causing this paper or fee to be deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and that this paper or fee has been addressed to the Assistant Commissioner for Patents, Washington, D. C. 20231

Jenny Miller

(Typed or printed name of person mailing paper or fee)

Jenny Miller 10-11-01  
(Signature of person mailing paper or fee) (Date signed)

Serial/Patent No.: Not yet assigned Filing/Issue Date: Herewith

Client: Siebel Systems

Title: METHOD AND SYSTEM FOR TRANSFERRING INFORMATION DURING SERVER  
SYNCHRONIZATION WITH A COMPUTING DEVICE

BSTZ File No.: 005306.P063 Atty/Secty Initials: LEL/jem

Date Mailed: October 11, 2001 Docket Due Date: \_\_\_\_\_

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

- |   |  |   |
|---|--|---|
| <input type="checkbox"/> Amendment/Response (____ pgs.)   | <input checked="" type="checkbox"/> Express Mail No. <b>EL861982896US</b>                | <input checked="" type="checkbox"/> Check No. <b>1709</b> |
| <input type="checkbox"/> Appeal Brief (____ pgs.) (in triplicate)   | <input type="checkbox"/> _____ Month(s) Extension of Time                                | <input type="checkbox"/> Amt: <b>\$1,126.00</b>           |
| <input checked="" type="checkbox"/> Application - Utility ( <b>41</b> pgs., with cover and abstract)                      | <input type="checkbox"/> Information Disclosure Statement & PTO-144 (____ pgs.)          | <input type="checkbox"/> Check No _____                   |
| <input type="checkbox"/> Application - Rule 1.53(b) Continuation (____ pgs.)  | <input type="checkbox"/> Issue Fee Transmittal   | <input type="checkbox"/> Amt: _____                       |
| <input type="checkbox"/> Application - Rule 1.53(b) Divisional (____ pgs.)  | <input type="checkbox"/> Notice of Appeal  |   |
| <input type="checkbox"/> Application - Rule 1.53(b) CIP (____ pgs.)   | <input type="checkbox"/> Petition for Extension of Time                                  |   |
| <input type="checkbox"/> Application - Rule 1.53(d) CPA Transmittal (____ pgs.)   | <input type="checkbox"/> Petition for _____  |   |
| <input type="checkbox"/> Application - Design (____ pgs.)   | <input checked="" type="checkbox"/> Postcard   |   |
| <input type="checkbox"/> Application - PCT (____ pgs.)  | <input type="checkbox"/> Power of Attorney (____ pgs.)                                   |   |
| <input type="checkbox"/> Application - Provisional (____ pgs.)  | <input type="checkbox"/> Preliminary Amendment (____ pgs.)                               |   |
| <input checked="" type="checkbox"/> Assignment and Cover Sheet  | <input type="checkbox"/> Reply Brief (____ pgs.)   |   |
| <input checked="" type="checkbox"/> Certificate of Mailing  | <input type="checkbox"/> Response to Notice of Missing Parts                             |   |
| <input checked="" type="checkbox"/> Declaration & POA ( <b>5</b> pgs.)  | <input type="checkbox"/> Small Entity Declaration for Indep. Inventor/Small Business     |   |
| <input type="checkbox"/> Disclose Docs & Obj & Copy of Inventor's Signed Letter (____ pgs.)                               | <input checked="" type="checkbox"/> Transmittal Letter, in duplicate <b>2 pages each</b> |   |
| <input checked="" type="checkbox"/> Drawings: <b>11</b> # of sheets includes <b>11</b> figures                            | <input checked="" type="checkbox"/> Fee Transmittal, in duplicate <b>2 pages each</b>    |   |
| <input checked="" type="checkbox"/> Other: <b>Certificate of mailing with copy of retrun postcard signed by attorney.</b> |  |   |